Fetal nutrition and cardiovascular disease in later life

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Recent findings suggest that many human fetuses have to adapt to a limited supply of nutrients and in doing so they permanently change their physiology and metabolism. These 'programmed' changes may be the origins of a number of diseases in later life, including coronary heart disease and the related disorders stroke, diabetes and hypertension.

In fetal life the tissues and organs of the body go through what are called 'critical' periods of development¹. Critical periods may coincide with periods of rapid cell division. The fetus's main adaptation to lack of nutrients or oxygen is to slow its rate of cell division, especially in those tissues that are undergoing 'critical' periods at the time. Cell division slows either as a direct effect of undernutrition on the cell or through altered concentrations of growth factors or hormones, of which insulin and growth hormone are particularly important. Even brief periods of undernutrition may permanently reduce the numbers of cells in particular organs^{1,2}. This is one of the mechanisms by which undernutrition may permanently change or 'programme' the structure and function of the body³. Other lasting 'memories' of undernutrition include change in the distribution of cell types, hormonal feedback, metabolic activity and organ structure.

Programming the fetus

Correspondence to. Prof D J P Barker, MRC Environmental Epidemiology Unit, University of Southampton, Southampton General Hospital, Southampton, SO16 6YD, UK The diversity of size and form of babies born after normal pregnancies is remarkable. Studies of the birthweights of relatives⁴, together with evidence from animal cross breeding experiments⁵, have led to the conclusion that this diversity is essentially determined by the intrauterine environment rather than the fetal genome⁶. Studies of animals show that the supply of nutrients and oxygen is the aspect of the intrauterine environment that usually limits fetal growth^{7,8}. In humans, low birthweight, and disproportion in head circumference, length, weight and placental weight, are markers of lack of nutrients at particular stages of gestation. They reflect adaptations that the fetus made to sustain its development — adaptations that, it seems, may permanently programme its physiology and metabolism.

It is unquestionable that the human body can be programmed by undernutrition. Rickets has for a long while served as a demonstration that undernutrition at a critical stage of early life leads to persisting changes in structure. What is new is the realisation that some of the body's 'memories' of early undernutrition become translated into pathology and thereby determine disease in later life⁹. This is perhaps unsurprising given the numerous animal experiments showing that undernutrition *in utero* leads to persisting changes in cholesterol metabolism, insulin response to glucose, and a range of other metabolic, endocrine and immune functions known to be important in human disease^{3,10}. A feature of the epidemiological findings which will be described in this chapter is that they echo experimental observations on animals and are successfully predicting the results of new experiments.

Size at birth and coronary heart disease

An important clue suggesting that coronary heart disease might originate during fetal development came from studies of death rates among babies in Britain during the early 1900s¹¹. The usual certified cause of death in newborn babies at that time was low birthweight. Death rates in the newborn differed considerably between one part of the country and another, being highest in some of the northern industrial towns and the poorer rural areas in the north and west. This geographical pattern in death rates was shown to closely resemble today's large variations in death rates from coronary heart disease, variations that form one aspect of the continuing north–south divide in health in Britain¹¹. One possible conclusion suggested by this observation was that low rates of growth before birth are in some way linked to the development of coronary heart disease in adult life. The suggestion that events in childhood influence the pathogenesis of coronary heart disease was not new. A focus on intrauterine life, however, offered a new point of departure for research.

The early epidemiological studies that pointed to the possible importance of programming in coronary heart disease were based on the simple strategy of examining men and women in middle and late life whose body measurements at birth were recorded. The birth records on which these studies were based came to light as a result of the Medical Research Council's systematic search of the archives and records offices of Britain — a search that led to the discovery of three important groups of records in Hertfordshire, Preston and Sheffield. The Hertfordshire records were maintained by health visitors and include measurements of

Birthweight pounds (kg)	Standardised mortality ratio	No of deaths	
≤5.5 (2.50)	100	57	
- 6.5 (2 95)	81	137	
7.5 (3.41)	80	298	
- 8.5 (3 86)	74	289	
-9.5 (4 31)	55	103	
>9.5 (4 31)	65	57 .	
Total	74	941	

 Table 1
 Death rates from coronary heart disease among 15,726 men and women according to birthweight

growth in infancy as well as birthweight. In Preston and Sheffield detailed obstetric records documented body proportions at birth^{12,13}.

16,000 men and women born in Hertfordshire during 1911-1930 have now been traced from birth to the present day. Death rates from coronary heart disease fell 2-fold between those at the lower and upper ends of the birthweight distribution (Table 1)14. A study in Sheffield showed that it is people who were small at birth because they failed to grow, rather than because they were born early, who are at increased risk of the disease¹³. Death rates from stroke similarly fell across the range of birthweight¹⁵. The association between low birthweight and coronary heart disease has now been confirmed in the US. Among 80,000 women in the Nurses Study there was a similar 2-fold fall in the relative risk of non-fatal coronary heart disease across the range of birthweight¹⁶. An association between low birthweight and prevalent coronary heart disease has recently been shown in South India¹⁷. Among men and women aged 45 years and over the prevalence of the disease fell from 15% in those who weighed 5.5 lb (2.5 kg) at birth to 4% in those who weighed 7 lb (3.2 kg) or more.

In studies exploring the mechanisms underlying these associations, the trends in coronary heart disease with birthweight have been found to be paralleled by similar trends in two of its major risk factors— hypertension and non-insulin dependent diabetes mellitus^{18,19}. Table 2 illustrates the size of these trends, the prevalence of non-insulin dependent diabetes mellitus and impaired glucose tolerance falling threefold between men who weighed 5.5 lb at birth and those who weighed 9.5 lb¹⁸. This association has been confirmed in men and women in three studies in the UK⁹, three in the US²⁰⁻²², and one in Sweden²³.

One response to such findings is to argue that people who were exposed to an adverse environment *in utero* and failed to grow continue to be exposed to an adverse environment in childhood and adult life, and it is this later adverse environment that produces the effects attributed to programming *in utero*. There is, however, little evidence to support this

Birthweight Ib (kg)	Number of men	% with impaired glucose tolerance or diabetes	Odds ratio adjusted for bod mass index (95% confidence interval)	
≤ 5.5 (2.50)	20	40	6 6 (1.5 to 28)	
-6.5 (2 95)	47	34	48 (1.3 to 17)	
-7.5 (3.41)	104	31	4 6 (1.4 to 16)	
— 8.5 (3 86)	117	22	2.6 (0 8 to 8 9)	
-9.5 (4 31)	54	13	1.4 (0 3 to 5 6)	
> 9.5 (4 31)	28	14	1.0	
Total	370	25		

 Table 2
 Prevalence of non-insulin dependent diabetes and impaired glucose tolerance in men aged
 59–70 years

argument. Rather, associations between birthweight and later disease are found in each social group, and are independent of influences such as smoking and obesity in adult life^{9,14}. Adult lifestyle does, however, add to intrauterine effects. The highest prevalences of non-insulin dependent diabetes and impaired glucose tolerance, for example, are seen in people who were small at birth but obese as adults^{18,23}.

Insulin resistance

We know something of why people who had low growth rates *in utero* cannot withstand the stress of becoming obese as adults. There is some evidence that their poor fetal growth resulted in a reduced number of pancreatic β cells and hence a reduced capacity to make insulin. There is stronger evidence that they became resistant to the effects of insulin. Studies in Preston showed that it is specifically thinness at birth, measured by a low ponderal index (birthweight/length³), that is associated with resistance to insulin and its associated disorders in later life²⁴. This observation has recently been confirmed in Sweden²³. The thin neonate lacks skeletal muscle, as well as fat, and muscle is the main peripheral site of action of insulin, which has a key role in stimulating cell division in fetal life. It is thought that, at some point in mid-to-late gestation, the thin neonate became undernourished and that, in response, its muscles became resistant to insulin. Muscle growth was, therefore, sacrificed but the brain was spared.

Serum cholesterol and blood clotting

Studies in Sheffield show that the neonate that has a short body in relation to the size of its head, although within the normal range of

Abdominal circumferer inches (cm)	nce No of people	Total cholesterol (mmol/l)	Low density lipoprotein cholesterol (mmol/l)
≤11.5(29.2)	53	67	4.5
- 12 0 (30.5)	43	69	4.6
- 12.5 (318)	31	68	4.4
- 13.0 (33 0)	45	6.2	40
> 13 0 (33 0)	45	61	40
Total	217	6.5	43

Table 3Mean serum cholesterol concentrations according to abdominal circumference at birth inmen and women aged 50-53 years

birthweight, has persisting disturbances of cholesterol metabolism and blood coagulation²⁵. Disproportion in body length relative to head size is thought to result from undernutrition in late gestation. The fetus uses an adaptive response present in mammals and diverts oxygenated blood away from the trunk to sustain the brain²⁶. This affects the growth of the liver, two of whose functions, regulation of cholesterol and of blood clotting, seem to be permanently perturbed^{25,27}. Disturbance of cholesterol metabolism and blood clotting are both important features of coronary heart disease.

The Sheffield records include abdominal circumference at birth, as well as length, and it was specifically reduction in this birth measurement that predicted raised serum low density lipoprotein cholesterol and plasma fibrinogen concentrations in adult life. The differences in concentrations across the range of abdominal circumference (Table 3) were large, statistically equivalent to 30% differences in mortality caused by coronary heart disease. The findings for plasma fibrinogen concentrations, a measure of blood coagulability, were of similar size²⁷.

Since both cholesterol and fibrinogen metabolism are regulated by the liver, one interpretation of these findings is that reduced abdominal circumference at birth reflects impaired liver growth and consequent reprogramming of liver metabolism. Further understanding of liver programming may come more rapidly from animal than from human studies. The guinea pig seems to provide a good animal model of the Sheffield data. Undernutrition of the pregnant animal leads to reduced linear growth in the fetus which at birth has a normal head but a small abdominal circumference. Liver size is reduced and plasma cholesterol concentrations are elevated throughout life. (J. Owens, unpublished).

Blood pressure

Association between low birthweight and raised blood pressure in childhood and adult life has been extensively demonstrated around the

Birthweight Ib (kg)	Systolic blood pressure mmHg (adjusted for sex)		
- 5.5 (2.50)	168 (54)		
- 6.5 (2.95)	165 (174)		
-7.5 (3.41)	165 (403)		
- 8.5 (3 86)	164 (342)		
-9.5 (4 31)	160 (183)		
> 9.5 (4 31)	163 (72)		
Total	164 (1228)		
Standard deviation	25		

 Table 4
 Mean systolic pressure in men and women aged 60–71 years

 according to birthweight
 Instant according to birthweight

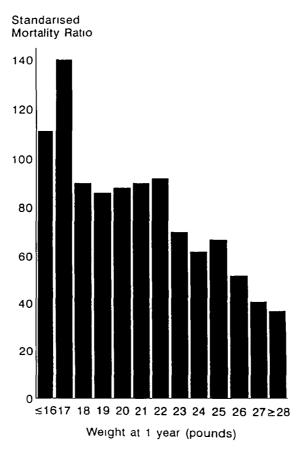
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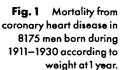
world²⁸ (Table 4). The relationship is less consistently found in adolescence, presumably because the tracking of blood pressure is perturbed by the adolescent growth spurt. Persistent elevation of blood pressure seems to be associated with interference with growth at any stage of gestation, since it is found in people who were thin or short babies, or proportionately small²⁹.

Possible mechanisms linking reduced fetal growth and raised blood pressure are persisting changes in vascular structure, including loss of elasticity in vessel walls, and the effects of glucocorticoid hormones^{30,31}. In animals, modest glucocorticoid excess retards intrauterine growth and programmes raised blood pressure. An excess may occur either from feto-placental stress or from deficiency in the normal placental enzyme barrier that protects the fetus from its mother's glucocorticoids. Such a deficiency can be produced experimentally in rats by undernutrition. Fetal undernutrition also causes persisting elevation of blood pressure in rats³², which must encourage the view that fetal undernutrition is causally linked to hypertension in humans and opens up ways in which the underlying mechanisms can be explored.

Growth in infancy

In late gestation, rates of cell division fall and growth slows. After birth, growth mainly consists of the development and enlargement of existing cells rather than addition of new ones^{1,2}. Babies who are short at birth, with reduced abdominal circumferences, tend to grow slowly after birth³³. Low rates of infant weight gain are highly predictive of coronary heart disease among men¹⁴. In Hertfordshire, men who were small at 1 year were 3 times more likely to develop or die from coronary heart





disease than those who were large, an association that did not depend on the way in which the infants were fed (Fig. 1). At present, we do not know whether growth and nutrition in later childhood modify susceptibility to coronary heart disease established *in utero*. Studies now in progress in Finland should illuminate this.

Low weight gain during infancy is also followed by hypertrophy of the left ventricle in childhood and adult life, which predicts coronary heart disease independently of blood pressure^{34,35}. One possible explanation of this is that, in the short baby, the structure of the heart is permanently changed by the adaptive responses that occurred before birth. Redistribution of blood flow in favour of the brain increases left ventricular blood flow and peripheral resistance and may, therefore, lead to muscular hypertrophy. Another possible explanation, for which there is only limited evidence, is that short babies are resistant to growth hormone, which takes over control of growth from insulin in late fetal life although its predominant effect is on postnatal growth³⁶. Resistance

Birthweight Ib (kg)	Placental weight lb (kg)				
	≤10 (0 45)	- 1 25 (0 57)	- 1 5 (0 68)	> 1 5 (0 68)	All
- 6.5 (2 95)	149 (24)	152 (46)	151 (18)	167 (6)	152 (94)
-7.5 (3 41)	139 (16)	148 (63)	146 (35)	159 (23)	148 (137)
>7.5 (3 41)	131 (3)	143 (23)	148 (30)	153 (40)	149 (96)
Total	144 (43)	148 (132)	148 (83)	156 (69)	149 (327)

 Table 5
 Mean systolic blood pressure (mmHg) of men and women aged 46–54 years, born after 38 completed weeks of gestation, according to placental weight and birthweight

Numbers of subjects are shown in brackets.

to growth hormone is associated with high circulating concentrations of the hormone. Observations on patients with pituitary tumours producing growth hormone have shown that high concentrations cause cardiac enlargement, atheroma in the vessels and death from coronary heart disease. Long-term consequences of programmed patterns of hormone release, altered tissue sensitivity to hormones, or altered *in utero* exposure to hormones could be important mechanisms underlying other diseases, in particular hormonally related cancers^{37,39}.

The placenta

At an early stage of development, an embryo comprises two groups of cells, the inner and outer cell masses. The outer cell mass develops into the placenta, and the inner cell mass becomes the fetus. Experiments in animals suggest that the distribution of cells between the two masses is influenced by nutrition and hormones⁴⁰. In sheep, undernutrition in early pregnancy leads to placental enlargement, thought to be an adaptation to extract more nutrients⁴¹. There is evidence that placental enlargement may also be an adaptive response in humans. Ultrasound studies in humans show that at around 18 weeks of gestation, fetuses of a given size already have a range of placental volumes⁴².

Recent observations suggest that expansion of the placenta is another fetal adaptation that exacts a long-term price. The blood pressures of a group of men and women in Preston were measured and are shown in Table 5 according to their birthweights and placental weight¹². As expected, blood pressures fell with increasing birthweight. At any given birthweight, however, pressures rose as placental weight increased, so that the highest pressures were in people who, in fetal life, allocated a greater proportion of their resources to placental development rather than to their own growth. Other studies have shown that placental enlargement is followed in adult life not only by elevated blood pressure, but by impaired glucose tolerance, disordered blood coagulation and death from coronary heart disease^{9,15}. Placental enlargement, therefore, seems to be a general marker of altered fetal development and its consequences, rather than a specific marker of later hypertension.

Fetal undernutrition

As stated already, the weight of evidence from animal cross breeding experiments and from studies of the birthweights of relatives suggests that, although the growth of a fetus is influenced by its genes, it is usually limited by the nutrient and oxygen supply it receives. The normal maternal constraint of fetal growth is reflected in the strong association between birthweight and the mother's height and pelvic dimensions. A baby's birth measurements, however, predict adult disease independently of the mother's pelvic size. Our studies have, therefore, focused on the influences which determine fetal nutrition rather than on the physiological constraint of fetal growth by the mother³⁶. This focus on the nutrient and oxygen supply to the fetus is supported by numerous animal experiments showing that poor nutrition may both impair growth during critical periods of fetal life and permanently affect the structure and physiology of a range of organs and tissues, including the endocrine pancreas, liver, and blood vessels^{3,10,32,43,44,45}.

Fetal nutrition is determined by the combination of the mother's dietary intakes and nutrient stores, together with nutrient delivery to the placenta and the placenta's transfer capabilities⁴⁶. Human studies of maternal nutrition in relation to fetal growth have dominantly focused on either maternal dietary intakes in late pregnancy or on nutrient stores. However, experimental studies in sheep in Adelaide, Australia, have shown that a period of maternal undernutrition in mid pregnancy has profoundly different effects on fetal and placental growth, according to whether the mother entered pregnancy with high or low nutritional stores⁴⁰.

Such differing effects of pregnancy undernutrition according to the mother's nutritional state around the time of conception may, in part, reflect differences in the growth trajectory of the fetus. This is set in the earliest stages of pregnancy and may reflect the particular sensitivity of early embryo growth to the concentrations of nutrients⁴⁷. Undernutrition in ewes in the last trimester has a greater adverse effect on the development of fetuses which are growing more rapidly⁴⁸. In such fetuses, on a fast growth trajectory, maternal undernutrition may result in fetal wasting and consumption of fetal amino acids by the placenta in order for it to

maintain lactate output to the fetus⁴⁶. Downward resetting of the growth trajectory in early gestation may be an important adaptation because it will reduce the demand for nutrients in late gestation.

A number of specific lines of evidence now support the general thesis that, in humans, the association between small size or altered body proportions at birth and later cardiovascular disease is a consequence of fetal undernutrition. Maternal age and cigarette smoking, which influence fetal growth have not been found to be related to cardiovascular disease in the offspring^{49,50}. A study in Aberdeen, UK, however, has shown that the blood pressures of middle-aged men and women are related to their mothers' intakes of carbohydrate and protein. which were recorded during the pregnancy⁵¹. At either extreme of the balance of animal protein/carbohydrate intakes, the offspring had raised blood pressure; they also had reduced placental weight at birth—an observation recently replicated in a study of 538 term deliveries in Southampton, UK⁵². Further evidence for the role of nutrition in programming is that alterations in the ratio of placental weight to birthweight, which are known to be associated with maternal anaemia during pregnancy, are also associated with the development of coronary heart disease and hypertension in later life^{12,15,53}. In addition a study in Iamaica found that children of mothers who were thin in early pregnancy, having low skinfold thicknesses, had raised blood pressure at age 10 years⁵⁴. In South India, the prevalence of coronary heart disease was highest in men and women whose mothers had low weight in pregnancy¹⁷. Finally, the occurrence of stroke is associated with a pattern of retarded fetal growth which occurs when the mother has a 'flat' bony pelvis — a deformity caused by poor nutrition in childhood¹⁵.

The future

If we are to be able to use the information outlined here to prevent disease, we need to progress beyond epidemiological associations to greater understanding of the cellular and molecular processes that underlie them. We need to know what factors limit the delivery of nutrients and oxygen to the human fetus; how the fetus adapts to a limited supply; how these adaptations programme the structure and physiology of the body; and by what molecular mechanisms nutrients and hormones alter gene expression. Further research requires a strategy of interdependent clinical, animal and epidemiological studies.

As yet, we do not know the true impact of maternal nutrition on fetal development. The relatively disappointing effects of human interventional studies of maternal nutrition during pregnancy have led to the view that fetal development is little affected by changes in maternal nutrition, except in circumstances of famine. It is, however, clear that birthweight alone is an inadequate summary measure of fetal growth, and that a more sophisticated view of optimal fetal development is necessary, which takes account of the long-term sequelae of fetal adaptations to undernutrition. Experimental studies in animals indicate the dangers of an overly simplistic view of maternal nutrition in relation to fetal development.

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